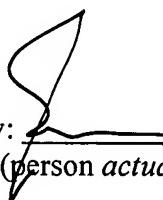


**CERTIFICATE OF MAILING BY "EXPRESS MAIL"  
(PATENT)**

Express Mail No: EV 406110022 US

Deposited: August 5, 2004

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By:   
\_\_\_\_\_  
*(person actually depositing)*

Inventors: Randall J. Ploeger et al.

Serial No. 10/798,659

Filed: March 11, 2004

- Transmittal Letter (in duplicate)
- Information Disclosure Statement
- PTO Form SB/08 w/attached Non-Patent Literature Documents
- Return Receipt Postcard.

Matter No: 010628.00096



8/6/04

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

IFW

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/798,659
Filing Date	March 11, 2004
First Named Inventor	Randall J. Ploeger
Art Unit	3683
Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number 010628.00096

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO SB/08A Form w/attached Non-Patent Literature Documents and Return Receipt Postcard
---	--	--

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jon O. Nelson
Signature	
Date	August 5, 2004

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name			
Signature		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Attorney Docket No.010628.00096)

O P E  
AUG 05 2004  
P A T E N T & T R A D E M A R K O F F I C E  
the Application of: )  
Randall J. Ploeger et al. )  
Serial No. 10/798,659 )  
Filed: March 11, 2004 )  
For: Dual Piston Disc Break Caliper Compressor )

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA, 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. 1.56. The references are listed on the enclosed and completed PTO Form SB/08A. The Disclosure Documents attached are documents received from third-parties on or about the dates indicated. These documents were submitted to applicant's assignee.

**Non-Patent Literature Documents**

1. A & E Products Brochure, Part No. 145, "*Ford Dual Piston Caliper Retractor*," (Date Unknown, but prior to the present application).
2. A & E Specialty Tools, Part No. 135, "*GM W-Car Front Piston Retractor*," (Date Unknown, but prior to the present application).
3. Akira Iijima, "*Brake Caliper Piston Spreader*" Disclosure Document (April 30, 1996).
4. Louis J. Garcia, "*Dual Piston Brake Caliper Compressor*" Disclosure Document (May 9, 2000).
5. Cal-Van Tools, Specialty Tool Part No. 700, "*Universal Disc Brake Pad Spreader*," (June, 2000).

Banner & Witcoff, Ltd.  
Ten South Wacker Drive  
Chicago, Illinois 60606  
(312) 463.5000

Attorney Docket No. 010628.00096

6. Jeff Wedekind et al., "*Disc Brake Spreader*" Disclosure Document (February 8, 2001).
7. Hashimat Rahimi, "*Dual Piston Brake Pad Spreader*" Disclosure Document (February 12, 2001).
8. Robert Dunum, "*Brake Single or Dual Piston Pushing Tool*" Disclosure Document (April 17, 2001).
9. Blue-Point , Model BT350, "*Dual Piston Brake Press Instructions,*" (May, 2002).

Respectfully submitted,

BANNER & WITCOFF, LTD

By:



---

Jon O. Nelson

Jon O. Nelson, Reg. No. 24,566

Dated: August 5, 2004

Banner & Witcoff, Ltd.  
Ten South Wacker Drive  
Chicago, Illinois 60606  
(312) 463.5000

Attorney Docket No. 010628.00096



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

- Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet 1 of 2

<i><b>Complete if Known</b></i>	
<i><b>Application Number</b></i>	10/798,659
<i><b>Filing Date</b></i>	March 11, 2004
<i><b>First Named Inventor</b></i>	Randall J. Ploeger
<i><b>Art Unit</b></i>	3683
<i><b>Examiner Name</b></i>	
<i><b>Attorney Docket Number</b></i>	010628.00096

## **U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for Form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

2

of

2

**Complete if Known**

Application Number	10/798,659
Filing Date	March 11, 2004
First Named Inventor	Randall J. Ploeger
Art Unit	3683
Examiner Name	
Attorney Docket Number	010628.00096

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	A & E Products Brochure, Part No. 145, "Ford Dual Piston Caliper Retractor," (Date Unknown, but prior to the present application).	
	2	A & E Specialty Tools, Part No. 135, "GM W-Car Front Piston Retractor," (Date Unknown, but prior to the present application).	
	3	Akira Iijima, "Brake Caliper Piston Spreader" Disclosure Document (April 30, 1996).	
	4	Louis J. Garcia, "Dual Piston Brake Caliper Compressor" Disclosure Document (May 9, 2000).	
	5	Cal-Van Tools, Specialty Tool Part No. 700, "Universal Disc Brake Pad Spreader," (June, 2000).	
	6	Jeff Wedekind et al., "Disc Brake Spreader" Disclosure Document (February 8, 2001).	
	7	Hashimat Rahimi, "Dual Piston Brake Pad Spreader" Disclosure Document (February 12, 2001).	
	8	Robert Dunum, "Branke Single or Dual Piston Pushing Tool" Disclosure Document (April 17, 2001).	
	9	Blue-Point , Model BT350, "Dual Piston Brake Press Instructions," (May, 2002).	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.